A Unified View on the Functorial Nerve Theorem and its Variations

Fabian Roll (TUM)

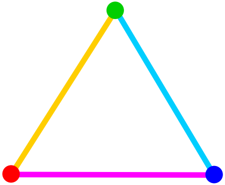
Group meeting - NDAG
September 8, 2022

joint work with Ulrich Bauer, Michael Kerber, and Alexander Rolle

The Alexandrov nerve (1928)

Definition. Let X be a topological space, and let $\mathcal{U} = (U_i)_{i \in I}$ be a cover of X. The *nerve* of $\mathcal{U}$ is the simplicial complex

$$\mathrm{Nrv}(\mathcal{U}) = \{J \subseteq I \mid |J| < \infty \text{ and } U_J := \bigcap_{i \in J} U_i \neq \emptyset\}$$

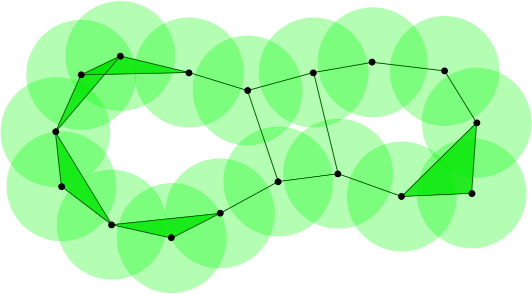


The Alexandrov nerve (1928)

Čech complex of a point cloud

Definition. The *Čech complex* of a subset $X \subseteq \mathbb{R}^d$ is the nerve of the cover by closed balls of radius r centered at points in X

$$\check{\mathrm{C}}\mathrm{ech}_r(X) = \mathrm{Nrv}((D_r(X))_{x \in X}))$$



The history of the nerve theorem

Theorem (Borsuk 1948, and many more). Let $\mathcal{U}$ be a nice cover of X. Then $\mathrm{Nrv}(\mathcal{U})$ is homotopy equivalent to X.

Here *nice* can mean different things:

- open, numerable, and good cover (contractible intersections)
  $\to$ many references
- closed, finite, and convex cover
  $\to$ few references, mostly using outdated language and tools

Prior results?

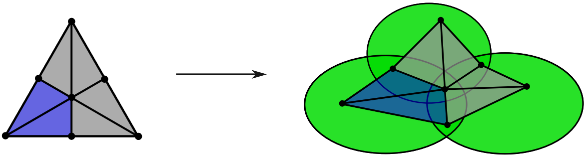
- Alexandrov 1928: Every compact metric space is the inverse limit of a sequence of nerves of "arbitrarily fine" closed covers.
- Čech 1932: Extends Alexandrov's ideas $\to$ Čech (co)homology

Nerve theorem for closed convex covers

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then $\operatorname{Nrv}(\mathcal{A})$ is homotopy equivalent to X.

Our proof strategy:

- Construct piecewise linear $\Gamma\colon \operatorname{Sd}\operatorname{Nrv}(\mathcal{A}) \to X$ with $\Gamma(\operatorname{bst} v_i) \subseteq C_i$.



- Construct $\Phi\colon X \to \operatorname{Nrv}(\mathcal{A})$ using a partition of unity subordinate to an open thickening of the C_i with $\Phi(C_i) \subseteq \operatorname{bst} v_i$.
- Show that Φ is a homotopy inverse to Γ.

Nerve theorem for closed convex covers

Some proof details

- Take a collection of open sets $\mathcal{G} = (U_i \supseteq C_i)_{i \in [n]}$ satisfying $\mathrm{Nrv}(\mathcal{A}) = \mathrm{Nrv}(\mathcal{G}_\varepsilon)$.
- Take a partition of unity $(\psi_i)_{i \in [n]}$ on X subordinate to the cover $(U_i \cap X)_{i \in [n]}$ of X with $\psi_i|_{C_i} \equiv 1$.
- Define the map $\Phi \colon X \to \mathrm{Nrv}(\mathcal{A})$ by

$$\Phi \colon x \mapsto \sum_{i=0}^{n} \psi_i(x) \cdot v_i$$

- Then $\Gamma \circ \Phi(C_i) \subseteq C_i$ and $\Gamma \circ \Phi \simeq \mathrm{id}_X$ by a straight line homotopy.
- Then $\Phi \circ \Gamma(\mathrm{bst}\, v_i) \subseteq \mathrm{bst}\, v_i$ and $\Phi \circ \Gamma \simeq \mathrm{id}_{\mathrm{Sd}\,\mathrm{Nrv}(\mathcal{A})}$ by induction over the skeleton of $\mathrm{Sd}\,\mathrm{Nrv}(\mathcal{A})$; use that $(\mathrm{bst}\, v)_{v \in \mathrm{Vert}\,\mathrm{Nrv}(\mathcal{A})}$ is a good cover of $\mathrm{Sd}\,\mathrm{Nrv}(\mathcal{A})$.

Nerve theorem for simplicial covers

Theorem (Björner, Quillen 1979). If $\mathcal{A} = (K_i \subseteq K)_{i \in I}$ is a good cover by subcomplexes, then $\mathrm{Nrv}(\mathcal{A})$ is homotopy equivalent to K.

Proof strategy:

- Use Quillen's Theorem A: If $f\colon P \to Q$ is a map of posets, and y/f is contractible for all $y \in Q$, then f is a homotopy equivalence.
- Consider the product poset

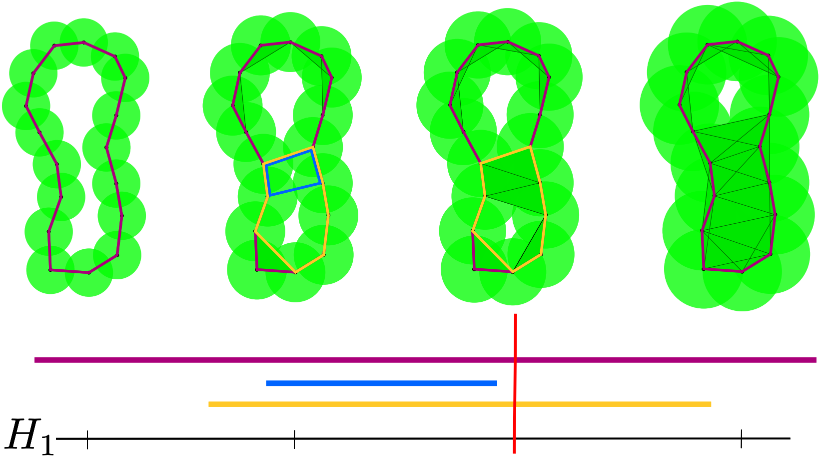
$$Z = \{(\sigma, J) \mid \sigma \in K_J\} \subseteq \mathrm{Pos}(K) \times \mathrm{Pos}(\mathrm{Nrv}(\mathcal{A}))$$

and apply Quillen's Theorem A to the projections

$$\begin{tikzcd} \mathrm{Pos}(K) \arrow[rr, bend right, "\text{locally finite cover}"'] & Z \arrow[l] \arrow[r] & \mathrm{Pos}(\mathrm{Nrv}(\mathcal{A}))^{\mathrm{op}} \end{tikzcd}$$

Functoriality

Persistent homology



Functoriality

Let $X \subseteq \mathbb{R}^d$, $\mathcal{U}_r = \{D_r(x)\}_{x \in X}$, and $X_r = \bigcup \mathcal{U}_r$. The nerve theorem guarantees for $r \leq l$ the homotopy equivalences

$$\begin{tikzcd} \mathrm{Nrv}(\mathcal{U}_r) \arrow[r] & \mathrm{Nrv}(\mathcal{U}_l) \\ X_r \arrow[u, "\simeq"] \arrow[r] \arrow[ur, phantom, "\circlearrowright?"] & X_l \arrow[u, "\simeq"'] \end{tikzcd}$$

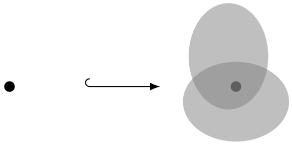
Theorem (Chazal–Oudot 2008). For open coves, this diagram commutes up to homotopy. Hence, it commutes after applying homology.

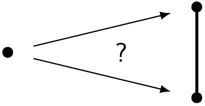
We address two issues:

1. Closed covers were not well-treated in the literature
2. No "proper" functoriality $\rightarrow$ needed in some homotopy-theoretic approaches to TDA (e.g. Blumberg–Lesnick)

Functoriality

Category of covered spaces





Definition. $(U_i)_{i\in I}$ a cover of X, and $(V_\ell)_{\ell\in L}$ a cover of Y. A *map of indexed covers* $\varphi\colon (U_i)_{i\in I} \to (V_\ell)_{\ell\in L}$ is formally a map $\varphi\colon I \to L$. A continuous map $f\colon X \to Y$ is *carried by* φ if $f(U_i) \subseteq V_{\varphi(i)}$.

Definition. The *category of covered spaces* Cov has

- Obj: pairs of the form $(X, (U_i))$, with (U_i) a cover of X
- Mor: $(f, \varphi)\colon (X, (U_i)) \to (Y, (V_\ell))$, continuous map $f\colon X \to Y$ carried by $\varphi\colon (U_i) \to (V_\ell)$

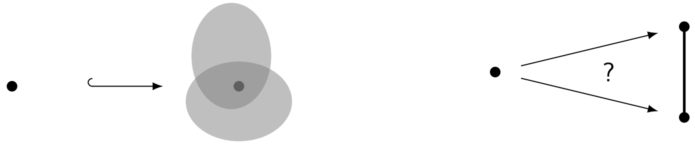
Functoriality

Category of covered spaces

Two functors

- Forgetting the cover: $\mathrm{Spc}\colon$ Cov $\to$ Top, $(X, \mathcal{U}) \mapsto X$
- The nerve: $\mathrm{Nrv}\colon$ Cov $\to$ Top, $(X, \mathcal{U}) \mapsto \mathrm{Nrv}(\mathcal{U})$

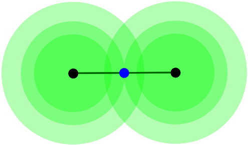
Remark. There are no natural transformations $\mathrm{Spc} \Rightarrow \mathrm{Nrv}$



and similarly no natural transformations $\mathrm{Nrv} \Rightarrow \mathrm{Spc}$.

Functoriality

Pointed covers



Definition. Only consider $X \subseteq \mathbb{R}^d$. The category ClConv$_\bullet$ has

- Obj: $(X, \mathcal{A}_\bullet)$, $\mathcal{A}_\bullet$ a finite closed, convex, and *pointed cover* of X
- Mor: $(f, \varphi)\colon (X, \mathcal{A}_\bullet) \to (Y, \mathcal{B}_\bullet)$, $f\colon X \to Y$ carried by $\varphi\colon \mathcal{A} \to \mathcal{B}$:
  - f preserves the basepoints
  - f is affine linear on each cover element

The map $\Gamma\colon \operatorname{Sd}\operatorname{Nrv}(\mathcal{A}) \xrightarrow{\simeq} X$ is natural w.r.t morphisms in ClConv$_\bullet$.

Theorem. On ClConv$_\bullet$ there exists a pointwise homotopy equivalence

$$\operatorname{Sd}\operatorname{Nrv} \Rightarrow \operatorname{Spc}$$

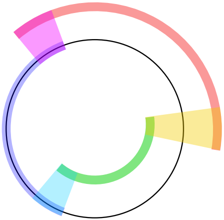
Functoriality

Blowup complex

Definition. For a finite cover $\mathcal{U} = (U_i)_{i \in [n]}$ of X, the *blowup complex* is

$$\mathrm{Blowup}(\mathcal{U}) = \bigcup_{J \in \mathrm{Nrv}(\mathcal{U})} U_J \times \Delta^{|J|-1} \subseteq X \times \Delta^n \,,$$

yielding a functor $\mathrm{Blowup}\colon \mathsf{Cov} \to \mathsf{Top}$.



Functoriality

Bar construction

Remark. The blowup complex is (not naturally) homeomorphic to the *bar construction* of the nerve diagram

→ can exploit its good homotopical properties to prove nerve theorems

Functoriality

Any $(f, \varphi)\colon (X, \mathcal{U}) \to (Y, \mathcal{V})$ induces a commuting diagram

$$\begin{tikzcd} X \arrow[d, "f"'] & \mathrm{Blowup}(\mathcal{U}) \arrow[l, "\rho_S"'] \arrow[r, "\rho_N"] \arrow[d] & \mathrm{Nrv}(\mathcal{U}) \arrow[d, "\varphi_*"] \\ Y & \mathrm{Blowup}(\mathcal{V}) \arrow[l, "\rho_S"] \arrow[r, "\rho_N"'] & \mathrm{Nrv}(\mathcal{V}) \end{tikzcd}$$

Hence, there are natural transformations $\mathrm{Spc} \overset{\rho_S}{\Leftarrow} \mathrm{Blowup} \overset{\rho_N}{\Rightarrow} \mathrm{Nrv}$.

Theorem. If $X \subset \mathbb{R}^d$, and $\mathcal{A} = (C_i)_{i \in [n]}$ is a cover by closed convex subsets, then the natural maps ρ_S and ρ_N are homotopy equivalences.

Proof. Use the following up to homotopy commutative diagram

$$\begin{tikzcd} & \mathrm{Blowup}(\mathcal{A}) \arrow[dl, "\simeq"'] \arrow[dr, "\simeq"] & \\ X & & \mathrm{Nrv}(\mathcal{A}) \arrow[ll, "\simeq", "\Gamma"'] \end{tikzcd}$$

Functoriality

Simplicial covers

Definition. For a cover $\mathcal{A} = (K_i \subseteq K)_{i \in I}$ by subcomplexes, consider the subposet

$$\mathrm{PoBar}(\mathcal{A}) = \{(\sigma, J) \mid J \subseteq I \text{ finite, } \sigma \in K_J\}$$

of $\mathrm{Pos}(K) \times \mathrm{Pos}(\mathrm{Nrv}(\mathcal{A}))^{\mathrm{op}}$ $\rightarrow$ Bar construction in the cat. of posets!

Remark. There exists a commuting diagram

$$\begin{tikzcd}
K \arrow[d, "\cong"'] & \mathrm{Blowup}(\mathcal{A}) \arrow[l, "\rho_S"'] \arrow[r, "\rho_N"] \arrow[d, "\cong"] & \mathrm{Nrv}(\mathcal{A}) \arrow[d, "\cong"] \\
\mathrm{Sd}(K) & \mathrm{T}(\mathcal{A}) \arrow[l] \arrow[r] \arrow[d, hook] & \mathrm{Sd}(\mathrm{Nrv}(\mathcal{A})) \\
 & \mathrm{Flag}(\mathrm{PoBar}(\mathcal{A})) \arrow[ul, "\lambda_S"] \arrow[ur, "\lambda_N"'] &
\end{tikzcd}$$

Unified nerve theorem

Theorem. Let X be a topological space and $\mathcal{A} = (A_i)_{i \in I}$ a cover of X.

1. Consider the natural map $\rho_S \colon \mathrm{Blowup}(\mathcal{A}) \to X$.
   a) If $\mathcal{A}$ is an open cover, then ρ_S is a weak homotopy equivalence. If furthermore X is paracompact, then ρ_S is a homotopy equivalence.
   b) Let X be compactly generated, and $\mathcal{A}$ a closed cover that is locally finite and locally finite dimensional. If for any $T \in \mathrm{Nrv}(\mathcal{A})$ the latching space $L(T) := \bigcup_{T \subsetneq J \subseteq I} A_J \subseteq A_T$ is closed and $(A_T, L(T))$ satisfies the homotopy ext. prop., then ρ_S is a homotopy equivalence.
2. Consider the natural map $\rho_N \colon \mathrm{Blowup}(\mathcal{A}) \to \mathrm{Nrv}(\mathcal{A})$.
   a) If $\mathcal{A}$ is (weakly) good, then ρ_N is a (weak) homotopy equivalence.
   b) If for all $J \in \mathrm{Nrv}(\mathcal{A})$ the space A_J is compactly generated and $\mathcal{A}$ is homologically good with respect to a coefficient ring R, then ρ_N is an R-homology isomorphism.

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence
2. For closed good covers some “finiteness” is needed
3. The “latching assumption” is not a proof artefact; even if we only care about the homologies

Unified nerve theorem

Counterexamples

1. Paracompactness is crucial to guarantee a homotopy equivalence:

- Consider the *long ray* L
- This is a standard example for a non-paracompact space that is also not contractible
- L is weakly contractible and for any point $p \in L$ the open set $L_{<p} = \{t \in L \mid t < p\}$ is homeomorphic to the interval $[0, 1)$
- $\mathcal{A} = (L_{<p})_{p \in \omega_1}$ is a good open cover and it follows from 1.a) and 2.a) that the nerve $\mathrm{Nrv}\,\mathcal{A}$ is weakly contractible and hence contractible by Whitehead's theorem
- Thus, L and $\mathrm{Nrv}\,\mathcal{A}$ are not homotopy equivalent

Unified nerve theorem

Counterexamples

2. For closed good covers some "finiteness" is needed:

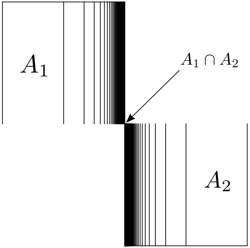
- Consider the cover of S^1 by its points
- As the nerve $\operatorname{Nrv} \mathcal{A}$ is a disjoint union of points, it is not homotopy equivalent to S^1
- All conditions in 1.b) and 2.a) are satisfied except the locally finiteness assumption

Unified nerve theorem

Counterexamples

3. The “latching assumption” is not a proof artefact:

- Consider the *double comb space* C and denote the two combs by A_1 and A_2



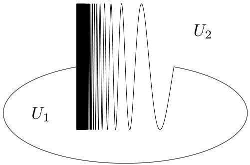
- The nerve $\operatorname{Nrv} \mathcal{A}$ is contractible, but C is not
- The pairs $(A_1, A_1 \cap A_2)$ and $(A_2, A_1 \cap A_2)$ do not satisfy the homotopy extension property

Unified nerve theorem

Counterexamples

3. The "latching assumption" is not a proof artefact; even if we only care about the homologies:

- Consider the *Warsaw circle* $W \subseteq S^2$ that separates the sphere into two connected components U_1 and U_2



- The closed sets $A_1 = U_1 \cup W$ and $A_2 = U_2 \cup W$ cover the sphere and are contractible
- $A_1 \cap A_2 = W$ is acyclic and hence $\mathcal{A} = \{A_1, A_2\}$ is a homologically good closed cover of S^2
- S^2 and $\operatorname{Nrv} \mathcal{A}$ do not have isomorphic homology groups

Summary

- Gave proofs of (functorial) nerve theorems that are attractive to students and newcomers to TDA.
- Learned that functoriality depends on the framework and holds without any assumptions.
- Abstract homotopy theory can help to give a "unified view" on the functorial nerve theorem.

Future work:

- Discuss approximate nerve theorems.
- Discuss Latschev's result on the reconstruction of Riemannian manifolds and its functoriality by using *Vietoris–Rips good covers*.

Funding: DFG (SFB/TRR 109 *Discretization in Geometry and Dynamics*)